

**How to write a successful proposal in H2020.  
Practical information for participation  
12 June 2018**



# Horizon 2020 Overview

Three priorities:

- **Pillar 1 Excellent Science (approximately 32% of budget)**
- **Pillar 2 Industrial Leadership (approximately 22% of budget)**
- **Pillar 3 Societal Challenges (approximately 38.5% of budget)**
- **Cross-cutting activities (approximately 1.5% of budget):**

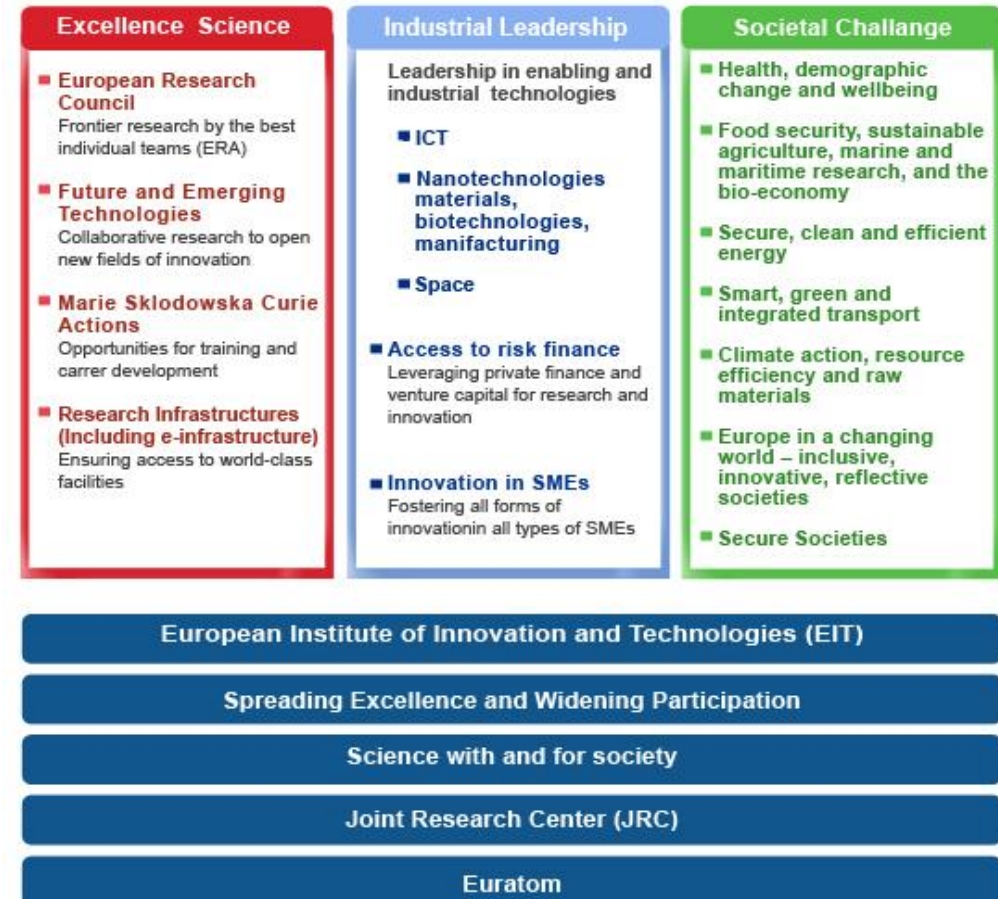
Spreading Excellence and Widening Participation

Science with and for Society

- **EIT (approximately 3.5% of budget)**
- Non-nuclear activities of **Joint Research Centre (approximately 2.5% of budget)**
- Strong focus on SMEs:

**In collaborative projects** - 20% of total budget for societal challenges and enabling & industrial technologies to go to SMEs

**A new SME instrument** in all societal challenges and enabling & industrial technologies (7% of relevant budgets)



# Place of the Participant Portal in EU's Research communication media

## 3 Internet portals related to Horizon 2020:

- RESEARCH on EUROPA

→ policy context

<http://ec.europa.eu/research>

- PARTICIPANT PORTAL

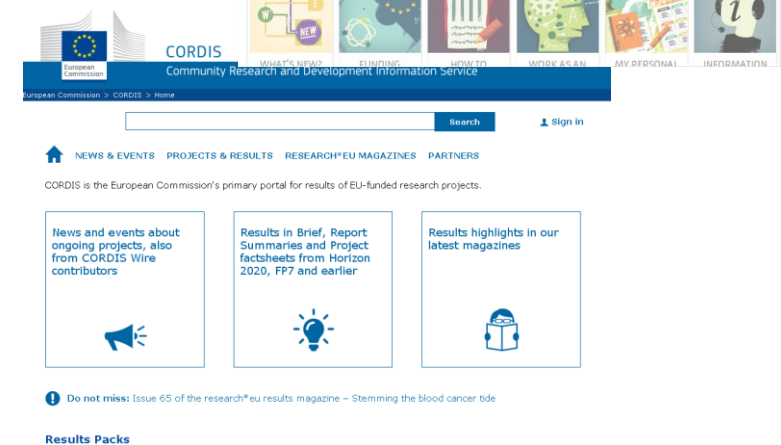
→ interactions with participants

<http://ec.europa.eu/research/participants/port>

- CORDIS

→ dissemination of results

<http://cordis.europa.eu>





## Horizon 2020. Calls 2018-2020

- €30 billion for three years+€1 billion for 30 flagship initiatives with specific countries in areas of mutual benefit.
- Horizon 2020 homepage: <http://ec.europa.eu/programmes/horizon2020/en>
- Calls for proposals:<http://ec.europa.eu/research/participants/portal/desktop/en/opportunities/h2020/index.html>

# Horizon 2020 – How to participate?

Any institution may participate either as a **Partner** or as a **Coordinator**!



- Responsibilities of the Coordinator:
  - Submits the proposal and (generally) leads the project.
  - Reporting responsibilities
  - Receives payment from the Commission and distributes to partners
  - Acts as a 'hub' for queries between Partners and Commission
- Resources and Benefits?
  - Coordinator receives adequate funding for resources to manage the consortium. Costs are claimed as incurred and cannot lead to a profit.
  - Closer links to Brussels
  - Prestige (?)

**BUT Be realistic**

## Time to grant: speeding up the process

- A maximum TTG of 8 months



**5 months**  
for informing all applicants  
on scientific evaluation



**3 months**  
for signature of GA

*Some exceptions apply*



# Time to grant: speeding up the process

## How to speed up the process

- No more negotiations:
  - each proposal evaluated 'as it is',
  - not as 'what could be';
- Legal entity validated in parallel.

✓ **No more paper:**  
e-communication & e-signature of grants.



# How to Apply?

Using the Horizon 2020 Participant Portal

- 1. Find a call for proposals
- 2. Find partners (where necessary)
- 3. Create an account and register your organization
- 5. Submit a proposal





# Find a suitable Call for Proposals

- **Calls on the Participant Portal:**  
<http://ec.europa.eu/research/participants/portals/desktop/en/home.html>
- **Detailed information in the H2020 Online Manual**  
[http://ec.europa.eu/research/participants/docs/h2020-funding-guide/index\\_en.htm](http://ec.europa.eu/research/participants/docs/h2020-funding-guide/index_en.htm)

## Bottom UP

You come up with a research idea and apply for funding through the Excellent Science schemes; e.g.

- European Research Council (ERC)
- Marie Skłodowska-Curie Schemes (MSCA)
- Future and Emerging Technologies (FET)
- SMEs Instrument

## Top DOWN

The EU define specific topics and your idea has to fit within their remit in order to be able to apply.  
Industrial Technologies (e.g. ICT, Biotech)  
Societal Challenges (e.g. Health, Secure Societies, Europe in a Changing World, Food-Agri-Marine)

# Partners and Consortia

## Formal criteria

- Minimum conditions  
Standard collaborative actions:  
At least three legal entities each established in a different Member State or an Associated Country;
- ERC, SME instrument, programme co-fund, coordination and support, training and mobility actions:  
One legal entity established in a Member State or in an Associated Country.
- **Additional Conditions**  
**In the work programme or work plan.**

## 28 MSs

+

## ACs

- Iceland
- Norway
- Albania
- Bosnia and Herzegovina
- the former Yugoslav Republic of Macedonia
- Montenegro
- Serbia
- Turkey
- Israel
- Moldova
- Switzerland
- Faroe Islands
- Ukraine

## Calls not requiring consortia

- Coordination and Support Actions** can be submitted by one legal entity established in a Member State or associated country only.
- The SME Instrument** only requires one SME from a EU Member State or associated country to submit a proposal.
- European Research Council (ERC)** grants fund single Principal Investigators working in a host institution in a EU Member State or associated country (for more information, see the chapter on the ERC).
- Individual Fellowships**, which are part of the Marie Skłodowska-Curie Actions, address individual researchers (and their host institutions) (for more information, see the chapter on the Marie Skłodowska-Curie Actions).

# Costs Reimbursement

## direct eligible costs

personnel costs

material costs

travel costs

subcontracts

project specific costs

**New** VAT

open access costs

## ineligible costs

customs duty

exchange rate loss

debts

costs in other projects

inappropriate costs

### Personnel costs

**Wider acceptance of average personnel costs**

**Acceptance of supplementary payments** (for non-profit organisations of up to 8000 euros/year/person)

**Simplifying participation for SMEs**

**Less requirements for time records**

**...and more in the Horizon 2020 Grant Agreement**

# Your strategy in proposal writing

## Strategy for Newcomers

**Learning** the Rules and Procedures

**Contacting** established networks and experience

## UKRAINE/EU



## Policy Drive for S&T Ukraine-EU cooperation

- Agreement on Co-operation in Science and Technology between the European Community and Ukraine (July 4, 2002)\*
- Agreement between Ukraine and the European Union on the participation of Ukraine in the Union programme Horizon 2020 - the Framework Programme for Research and Innovation (2014-2020) (March 20, 2015)

**15 July 2015,**

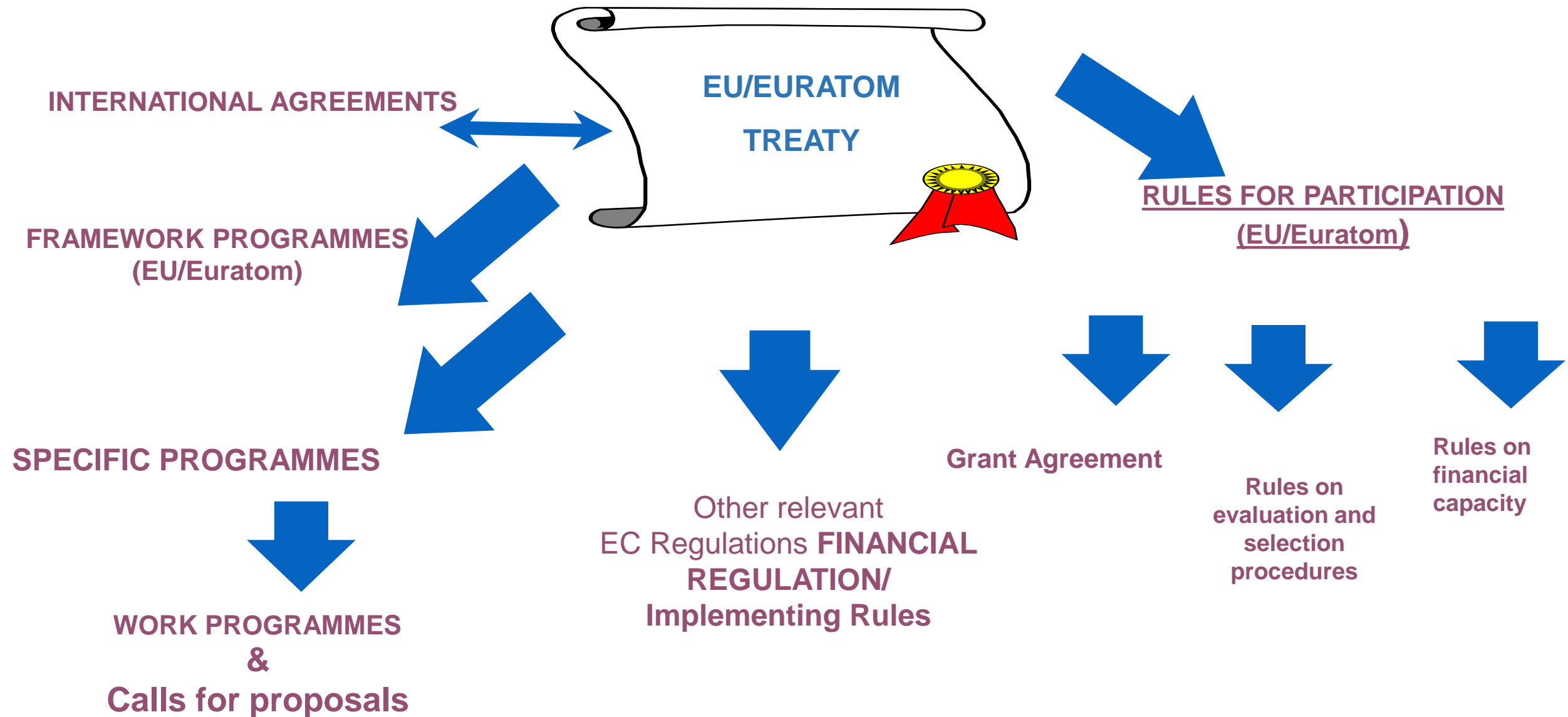
**The Verkhovna Rada of Ukraine adopted the Law "On Ratification of the Agreement by Exchange of Notes on Renewal of the Agreement on Scientific and Technological Cooperation between the European Community and Ukraine"**



# MUST KNOW: Internal regulations

- **Постанова Національного банку України** «Про внесення змін до постанови Правління Національного банку України від **13.12.2017 № 129**» щодо звільнення від обов'язкового продажу валютних коштів
- **Постанова Кабінету Міністрів України**, N 579, 12.08.2015  
«Про затвердження Положення про порядок реалізації права на академічну мобільність», Положення про умови матеріального забезпечення осіб, направлених за кордон на навчання та стажування»
- **Постанова Кабінету Міністрів України**, № 98, 02.02. 2011
- «Про суми та склад витрат на відрядження державних службовців, а також інших осіб, що направляються у відрядження підприємствами, установами та організаціями, які повністю або частково утримуються (фінансуються) за рахунок бюджетних коштів»
- **ПКУ, Бухгалтерського облік за законодавством України: Амортизація...**
- etc

# Legal Framework



# The Most Important Rules and Guidelines in a Nutshell

## Horizon 2020 Rules for Participation

The Rules for Participation form the legal basis of all the Model Grant Agreements and govern any legal aspects of Horizon 2020 that are not explicitly mentioned in the MGAs

[http://ec.europa.eu/research/participants/data/ref/h2020/legal\\_basis/rules\\_participation/h2020-rules-participation\\_en.pdf](http://ec.europa.eu/research/participants/data/ref/h2020/legal_basis/rules_participation/h2020-rules-participation_en.pdf)

**REGULATION (EU) No 1290/2013 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL  
of 11 December 2013 laying down the rules for participation and dissemination in "Horizon 2020 - the Framework  
Programme for Research and Innovation (2014-2020)" and repealing Regulation (EC) No 1906/2006**

# The Most Important Rules and Guidelines in a Nutshell

## General Model Grant Agreement (MGA)

The general GA specifies all the rights and obligations of the partners in the majority of project types. In Horizon 2020 we distinguish between the actual legal text [http://ec.europa.eu/research/participants/data/ref/h2020/mga/gga/h2020-mga-gga-multi\\_en.pdf](http://ec.europa.eu/research/participants/data/ref/h2020/mga/gga/h2020-mga-gga-multi_en.pdf) and the annotated version (AMGA) [http://ec.europa.eu/research/participants/data/ref/h2020/grants\\_manual/amga/h2020-amga\\_en.pdf](http://ec.europa.eu/research/participants/data/ref/h2020/grants_manual/amga/h2020-amga_en.pdf), which explains and illustrates the legal text.

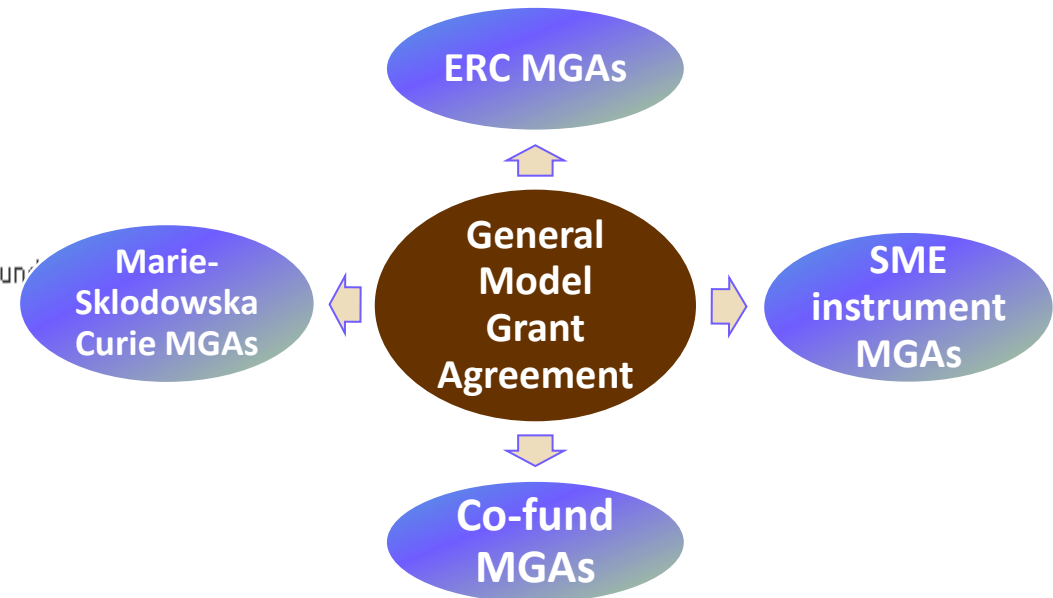
Certain programmes or types of actions, in particular the European Research Council (ERC) and the Marie Curie Sklodowska Actions (MSCA) have their own MGAs, which can be found on the reference page:

[http://ec.europa.eu/research/participants/portal/desktop/en/funding/reference\\_docs.html#h2020-mga](http://ec.europa.eu/research/participants/portal/desktop/en/funding/reference_docs.html#h2020-mga)  
of the Participant Portal

### Model grant agreement

- General Grant Agreement
- European Research Council (ERC)
- Marie-Sklodowska-Curie (MSC)
- SME Instrument
- ERANET Cofund
- Pre-Commercial Procurement (PCP)/Public Procurement of Innovative Solutions (PPI) Cofund
- European Joint Programme Cofund
- Framework Partnerships
- Lump sum

### Guidance



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# The Most Important Rules and Guidelines in a Nutshell

## Consortium Agreement (CA)

Consortium Agreement (CA)

<http://www.desca-2020.eu/>

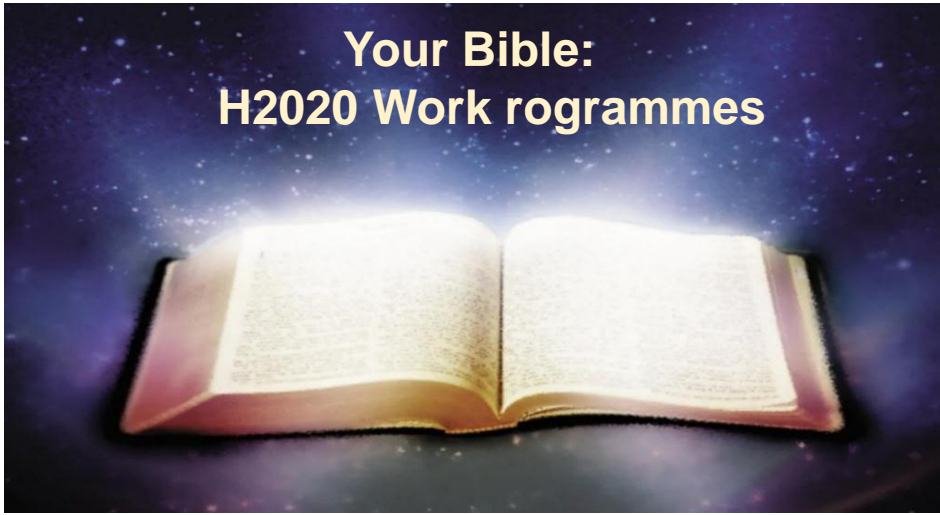
The CA determines the relationships among the project partners within a consortium, in particular with regard to governance, liability and intellectual property rights (IPR) [www.iprhelpdesk.eu](http://www.iprhelpdesk.eu) .

It must not conflict with the GA.

- 
- The DESCA model is the most common CA template for collaborative projects, but other versions exist (to be found e.g. at the IPR Helpdesk).



**Your Bible:  
H2020 Work programmes**



Restrict eligibility of participants from third countries (e.g. security concerns, reciprocity)

Introduce additional eligibility criteria

Number of participants, type of participant and place of establishment

Lay down further details for the application of the award criteria, and specify weighting and thresholds – see general annexes

Specify third countries that are eligible for funding

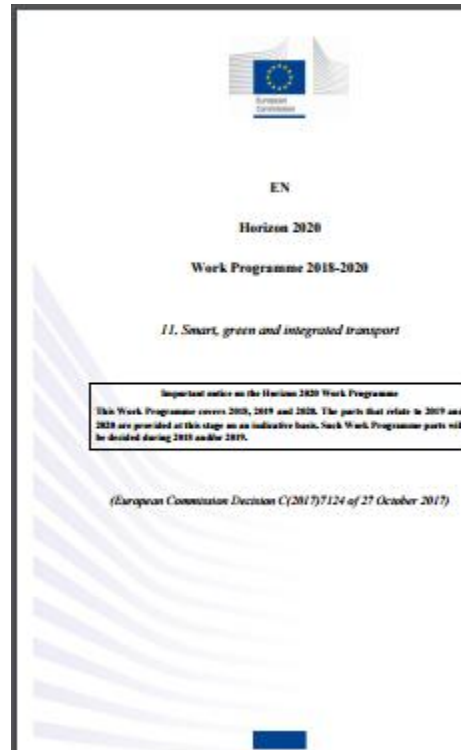
Specify the funding rate for an action

Specify that lump sums or scale of unit costs can be used for an action (subject to prior separate Commission Decision)

Identify beneficiaries for grants without a call for proposals

etc

# Work Programme



Horizon 2020 Work Programme 2018-2020	
Smart, green and integrated transport	
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## LC-MG-1-2-2018: Sustainable multi-modal inter-urban transport, regional mobility and spatial planning.

**Specific Challenge:** A metropolitan area, "agglomeration" or "commuter belt" (with important cross-docking activities), is a region consisting of a densely populated urban core and its less-populated surrounding territories, that is sharing industry, infrastructure and housing. An efficient multimodal transport network at different spatial levels is fundamental to allow a smooth functioning both in such areas and with their connected surrounding regions thus encouraging mobility and enhancing/preserving social inclusion. However, the transport infrastructure needed could cause important negative externalities and even induce unbridled suburbanization.

The introduction of new forms of people mobility and freight distribution, such as innovative soft mobility schemes, drive-sharing, ride-sharing, crowd shipping, crowd delivery, connected and automated vehicles, innovative flying vehicles, Mobility as a Service, could revolutionise transport demand with major consequences for the spatial organisation of cities and their local neighbourhoods. Mitigating the negative impacts of transport and substantially contribute to the achievement of the COP 22 goals must be pursued.

To address these challenges and in line with the guidelines to implement SUMP, a multi-dimensional approach is needed assessing new forms of mobility in all transport modes, their infrastructures, travel flux evolution, spatial-economic development, environmental and quality-of-life issues, governance is-behavioural aspects. Development of be proposed to support decision-makers of their plans.

GNSS can contribute to boosting new transport infrastructure. A large potent GNSS with communication technology

**Scope:** Proposals should address one of

- Address environmental, socio-metropolitan regions, whilst also issues should be included.
- Identification of new forms of radial transport infrastructure) w redesign of urban and low-density development -, on urban space private service allocation pattern

for collective transport and transport planning. Identify ways to promote their implementation of the new forms of mobility both in passenger and freight transport.

- Use of geolocalization data, including Galileo and EGNOS for cooperative mobility in combination with other communication and telematic data to foster a more efficient use of infrastructure and reduction of air pollution.

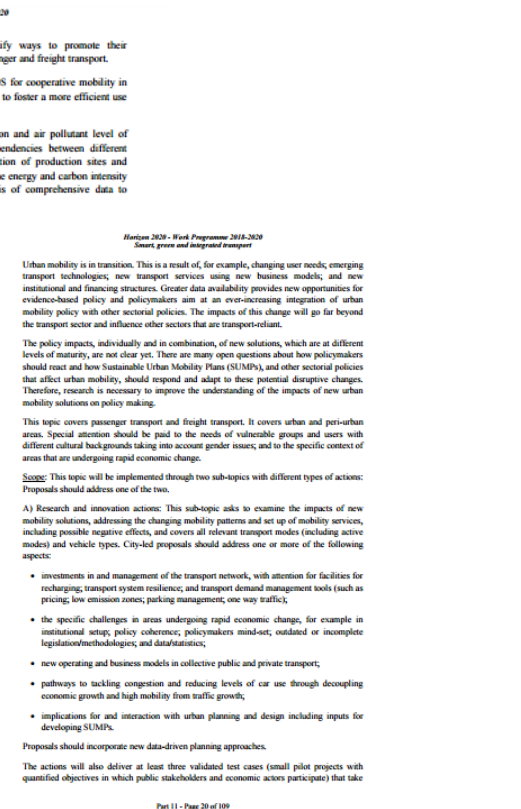
- Suggest appropriate measures to ensure the lowest carbon and air pollutant level of transport with particular consideration for the interdependencies between different spatial patterns of production/consumption (i.e. localization of production sites and relevant schemes of distribution to final consumers) and the energy and carbon intensity of the related transport systems. Collection and analysis of comprehensive data to provide a sound basis for future planning.

- Comprehensive planning for the entire functional (commuter movements and/or freight distribution) extending the Sustainable Urban Mobility Plan (SUMP) needs of metropolitan regions, new operating mod transport, overcoming social segregation and inequa in access to education, jobs, health and leisure. Into state planning, performance-based planning, (see planning) should also be considered with the aim to and equity in the mobility of all citizens groups. Co balancing long-term environmental goals with oth land use and preservation of natural zones), develop infrastructure (e.g. light rail), upgrading/ repurpose connectivity to the TEN-T and overall resilience of t

- Coordinated development of sustainable policies wit air-quality and noise-sensitive traffic management, long-term strategy, region-wide freight and logis innovative collective mobility promotion and incenti centres.

Involvement of local authorities, transport operators in appropriate implementation, in line with SUMP guid recording reactions of users to changes in infrastru effects) to support future decision-making and eno involvement is encouraged, as it is important to reach effe

<sup>10</sup> The Sustainable Urban Mobility Plan concept (see Annex I to COH area and proposes that action on urban mobility is embedded in Therefore, these Plans should be developed in cooperation across a land-use and spatial planning, environment, economic development across different levels of government and administration, as well as urban and rural. Sustainable Urban Mobility Plans are about inte integration of the different urban mobility modes



Urban mobility is in transition. This is a result of, for example, changing user needs; emerging transport technologies; new transport services using new business models; and new institutional and financing structures. Greater data availability provides new opportunities for evidence-based policy and policymakers aim at an ever-increasing integration of urban mobility policy with other sectoral policies. The impacts of this change will go far beyond the transport sector and influence other sectors that are transport-relevant.

The policy impacts, individually and in combination, of new solutions, which are at different levels of maturity, are not clear yet. There are many open questions about how policymakers should react and how Sustainable Urban Mobility Plans (SUMP), and other sectoral policies that affect urban mobility, should respond and adapt to these potential disruptive changes. Therefore, research is necessary to improve the understanding of the impacts of new urban mobility solutions on policy making.

This topic covers passenger transport and freight transport. It covers urban and peri-urban areas. Special attention should be paid to the needs of vulnerable groups and users with different cultural backgrounds taking into account gender issues; and to the specific context of areas that are undergoing rapid economic change.

**Summary:** This topic will be implemented through two sub-topics with different types of actions: Proposals should address one of the two.

A) Research and innovation actions: This sub-topic asks to examine the impacts of new mobility solutions, addressing the changing mobility patterns and set up of mobility services, including possible negative effects, and covers all relevant transport modes (including active modes) and vehicle types. City-led proposals should address one or more of the following aspects:

- investments in and management of the transport network, with attention for facilities for recharging; transport system resilience; and transport demand management tools (such as pricing, low emission zones; parking management; one way traffic);
- the specific challenges in areas undergoing rapid economic change, for example in institutional setup; policy coherence; policymakers mind-set; outdated or incomplete legislation/methodologies; and data/statistics;
- new operating and business models in collective public and private transport;
- pathways to tackling congestion and reducing levels of car use through decoupling economic growth and high mobility from traffic growth;
- implications for and interaction with urban planning and design including inputs for developing SUMP.

Proposals should incorporate new data-driven planning approaches.

The actions will also deliver at least three validated test cases (small pilot projects with quantified objectives in which public stakeholders and economic actors participate) that take

# Participant Portal

<http://ec.europa.eu/research/participants/portal>

## Participant Portal – one-stop shop

- Topics
- Documents
- Rules for participation
- Online manual
- E-submission

### Horizon 2020 Documents

[http://ec.europa.eu/research/participants/portal/desktop/en/funding/reference\\_docs.htm](http://ec.europa.eu/research/participants/portal/desktop/en/funding/reference_docs.htm)

### Horizon 2020 On-line Manual

<http://ec.europa.eu/research/participants/portal/desktop/en/funding/guide.html>

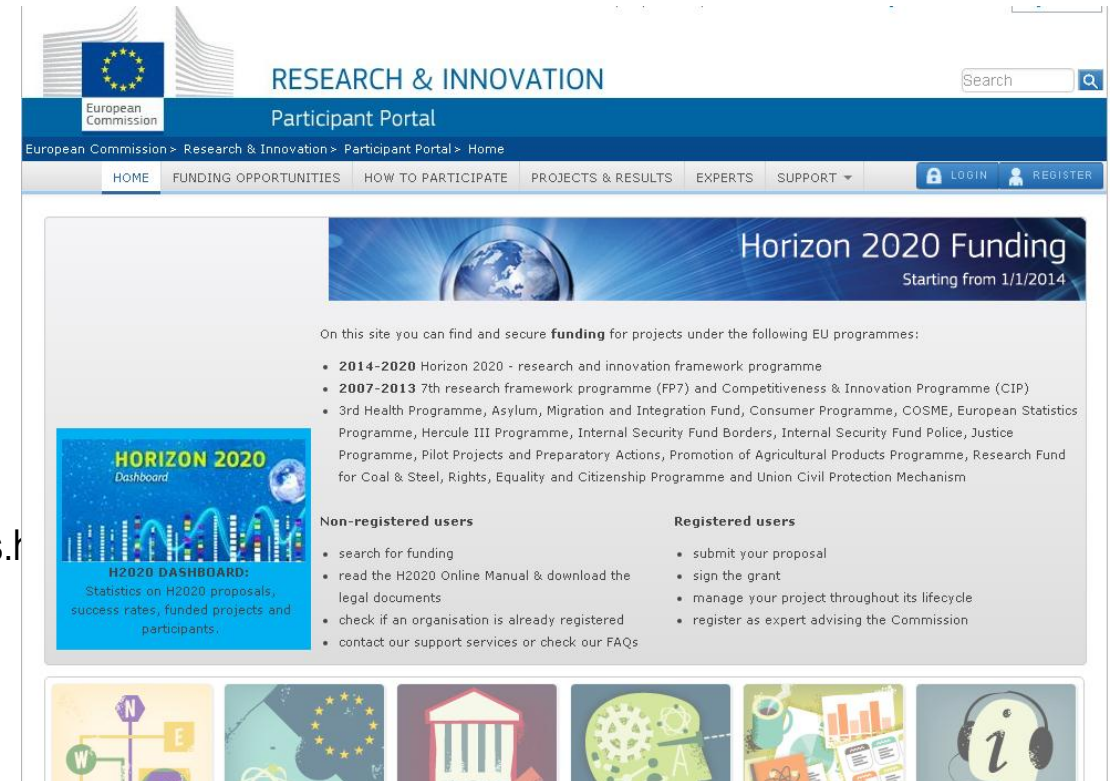
**Questions? Research Enquiry Service:** <http://ec.europa.eu/research/enquiries>

### EC OA website

<http://ec.europa.eu/research/swafs/index.cfm?pg=policy&lib=science>

**Open Access Resources** (Netvibes – EC Central Library)

<http://www.netvibes.com/open-access>



# Horizon 2020 National Portal



## Тиждень Горизонт 2020 в Національному університеті біоресурсів і природокористування України, 12-14 червня

04.06.2018 0

Семирічна програма (2014-2020 рр.) з бюджетом майже 80 мільярдів євро, програма "Горизонт 2020" є найбільшою програмою фінансування досліджень та інновацій в ЄС. 27 жовтня 2017 [...]



H2020  
programme

## Інформаційний день Програми «ГОРИЗОНТ 2020», 29 травня 2018 року – м. Київ

22.05.2018 0

29 травня 2018 року о 14:00 у Національному технічному університеті України «Київський політехнічний інститут імені Ігоря Сікорського» відбудеться Інформаційний день

### МОВА САЙТУ

Українська  
English

### ВАЖЛИВО

Без рубрики

Новини

### ПОШУК

пошук...

### ВООЗДІЛІА САЙТУ



# Projects of support

<https://ri-links2ua.eu/>

Project Information Policy Support & Innovation Capacity Building Working Tools Contacts

R&I-LINKS2UA

STRENGTHENING R&I LINKS TOWARDS UKRAINE

on RESEARCH AND INNOVATION annual event "Horizon 2020 NCPs training" 28-29 NOV 2016

HORIZON 2020

RI-LINKS2UA project provides input to EaP Panel on Research and Innovation 2016 annual event

READ MORE

EaPPLUS Eastern Partnership+

Search

Project Policy Dialogue Participation in H2020 R&I Partnership Policy Framework Communication Information

## Info - News for EaP STI Stakeholders

SORTED ASCENDING BY TITLE

FILTER

SEARCH IN TITLE:

FULLTEXT-SEARCH

IMPORTANT

**EU-EaP Cooperation Barometer - Survey 2017**

NEWS - HUNGARY

**EU-EaP International Cooperation Barometer – take part in our survey until 14 April!**

The EaP PLUS project aims to stimulate cooperation and support the bi-regional Science, Technology...

MORE

**European Research Council's 10th Anniversary event in Tbilisi**

EVENT - TBILISI - GEORGIA

The ERC 10th anniversary commemoration event under the title "Beyond 10 Years of the ERC: Supporting Excellent Researchers..."

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**SME Policy Index: Eastern Partnership Countries 2016**

DOCUMENT - FRANCE

The SME Policy Index is a benchmarking tool designed for emerging economies to assess SME policy frameworks and monitor ...

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incrEAST

### Information Exchange in Science, Technology and Innovation between the EU and Eastern Europe, South Caucasus, and Central Asia

→ incrEAST is a one-stop information platform for scientists, research organisations, policy stakeholders, and companies from the → EU Member States | → Associated Countries and from the → Eastern Partnership Countries, → Central Asia, and → Russia who are (or want to become) active in → international science, technology and innovation cooperation between these regions.

Latest news | → more...

**Ukraine joins the Euratom Research and Training Programme** 29.06.2016

On 27 June 2016, the European Union and Ukraine have concluded an agreement on the association of Ukraine to the Euratom Research and Training Programme. The agreement was signed in Brussels by Carlos Moedas, European Commissioner for Research, Science and Innovation, and Pavlo Klimkin, Minister of Foreign Affairs of Ukraine, in the presence of Petro Poroshenko, President of Ukraine. → [more...]

**New: Update of incrEAST Country Reports 2016** 27.06.2016

The incrEAST Country Reports are currently being updated. New data for Tajikistan and Kazakhstan are now online, a 2016 update for Uzbekistan will be available by the end of this week. The country reports for Armenia and Ukraine have already been updated in 2016. incrEAST Country Reports provide detailed information about the scientific landscape, research structure, STI policies and international cooperation activities of 12 countries in Eastern Europe, the South Caucasus and Central Asia. → [more...]

Important events | → more...

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INCENET EASTERN PARTNERSHIP

INCENET EAP is a project funded under

INCENET NEWSLETTER

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31 May 2016

Newsletter no. 30 (issue 30)

Quarterly: end of February, May, August and November

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